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### ABSTRACT

This work presents theoretical investigation of curcumin, organic dye produced by *Curcuma longa* species. Molecular and electronic structures of its keto-keto, enol-keto and enolate forms were analyzed. High-symmetric tautomers in gas-phase together with their deprotonated forms in various symmetric groups were identified using density functional theory and hybrid functional. Lower-symmetric unstable geometries were clarified by Pseudo-Jahn-Teller (PTE) effect, applying PTE vibronic interactions between the ground electron state and selected excited states. Theoretical results indicate significance of PTE effect which may alter aforementioned levels of photodynamic processes producing stable enol and keto curcumin structures. PTE may play an essential role and opens new opportunities for understanding photoprotection and bioactivity of natural dyes.

### INTRODUCTION

Nature seems to like symmetry. However, there are only few types of biomolecules in plants and fungi that are symmetric. Concept of molecular symmetry in chemistry and biology explains various properties of compounds such as their toxicity. It is a well-known fact that high-symmetric xenobiotics may perform a negative role in living systems.<sup>1</sup> If an aromatic entity is necessary in some biochemical processes, the symmetry is usually lowered by substituting side chains using, e.g., an alkyl, hydroxyl, or acetate group. Curcumin (diferuloylmethane) has an interesting position among symmetric organic molecules in plants. This yellow pigment of turmeric (*Curcuma longa*) has been recently examined and shown the protective effects against skin carcinogenesis caused by chronic UV irradiation.<sup>2,3</sup> This mechanism of photoprotective effect of curcumin is still unclear. In principle, it can be based on fluorescence, phosphorescence, and/or nonradiative transitions. Upon the absorption of a high energy dose, a low-energy photon may be generated due to the luminescence. Alternatively, the excited state curcumin can interact with the highly reactive singlet oxygen molecule with the subsequent formation of the less reactive triplet oxygen molecule.<sup>4</sup> Such processes demand the appropriate setting of energy levels of electronic and vibration states. From a theoretical point of view, the (Pseudo)-Jahn-Teller effect (PJTE) can particularly modulate these energy levels of symmetric compounds.

### METHODS AND MATERIALS

Gaussian16 software was used for all quantum-chemical calculations.<sup>5</sup> Geometries of various forms of curcumin in singlet ground or excited states were optimized within  $C_{2v}$ ,  $C_2$ ,  $C_s$  or  $C_1$  symmetries using the M06-2X hybrid functional<sup>6</sup> with standard cc-pVDZ basis sets for all atoms from the Gaussian library. The Time-dependent DFT (TD-DFT) treatment<sup>7</sup> for up to 30 vertical electronic states was used for excited state calculations. For comparison, optical transitions were also calculated using the 3-parameter hybrid B3LYP functional.

**Table 1.** Relative DFT energies (in kJ/mol) and imaginary vibrations of optimized molecules for different electronic states.

Model	Form	C=O vs. OCH3 conf.	Symm etry	State	DFT energy	Imagin. vibration
Ia	keto-keto	anti, anti	$C_{2v}$	$X^1A_1$	-1263.18213	$a_2$
				$X^1A'$	-1263.18970	-
				$X^1A_2$	-1263.18222	$a_2$
Ib	keto-keto	syn, syn	$C_{2v}$	$X^1A_1$	-1263.18222	$a_2$
				$X^1A'$	-1263.19285	-
				$X^1A_2$	-1263.19665	$b_1$
IIa	enol-keto	anti, anti	$C_{2v}$	$X^1A_1$	-1263.19665	$b_1$
				$X^1A'$	-1263.20488	-
				$1^1A''$	-1263.19234	$a''$
				$X^1A$	-1263.19946	-
				$X^1A_2$	-1263.19614	$b_1$
IIb	enol-keto	syn, syn	$C_{2v}$	$X^1A_1$	-1263.19614	$b_1$
				$X^1A'$	-1263.20438	-
				$1^1A''$	-1263.19183	$a''$
				$X^1A$	-1263.20048	-
				$X^1A_2$	-1262.63429	-
IIIa	enolate	anti, anti	$C_{2v}$	$X^1A_1$	-1262.63429	-
IIIb	enolate	syn, syn	$C_{2v}$	$X^1A_1$	-1262.63305	-

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### RESULTS

Curcumin symmetric neutral keto-keto (I models) and enol-keto (II models) forms as well as anionic enolate (III models) forms were studied (Figure 1.). Geometry optimizations of these systems started in the highest identified  $C_{2v}$  symmetry groups followed by re-optimization within its  $C_2$ ,  $C_s$ , and  $C_1$  subgroups:

#### a. Keto-keto forms (Ia, Ib)

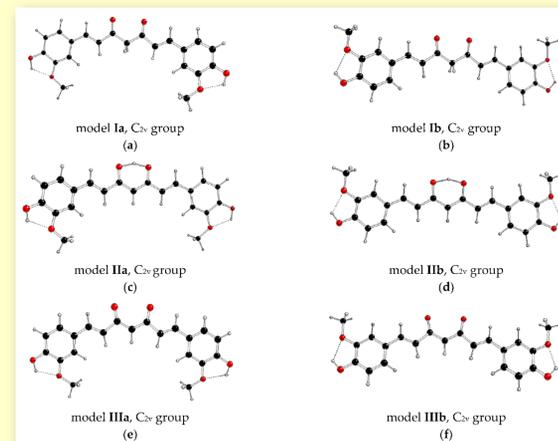
- Unstable geometries:  $C_{2v}$  symmetry with a single imaginary  $a_2$  vibration
- Stable geometries:  $C_2$  symmetry ( $K(C_{2v}, a_2)$  kernel subgroup)
- The pseudo-JT vibronic interaction between the  $X^1A_1$  and the  $1^1A_2$  in  $C_{2v}$  symmetry corresponds to the  $1^1A$  in  $C_2$  symmetry

#### b. Enol forms (IIa, IIb)

- Unstable geometries:  $C_{2v}$  symmetry with a single imaginary  $b_1$  vibration
- Stable geometries:  $C_s$  symmetry with the preserved  $\sigma_v$  mirror plane ( $K(C_{2v}, b_1)$  kernel group)
- The pseudo-JT vibronic interaction between the  $X^1A_1$  and the  $1^1B_1$  in  $C_{2v}$  symmetry corresponds to the  $1^1A'$  in  $C_s$  symmetry
- Stable geometries:  $C_1$  symmetry ( $K(C_s, a'')$  kernel subgroup)
- The pseudo-JT interaction between the excited electron states of the  $C_s$  symmetry structures (hidden JT effect)
- The pseudo-JT interaction between  $1^1A''$  and near  $A'$  excited electron states within the  $C_s$  symmetry group

#### c. Enolate structures (IIIa, IIIb)

- Only  $C_{2v}$  symmetry with no imaginary frequency – weak pseudo-JT effect in the systems



**Figure 1.** M06-2X/cc-pVDZ optimized curcumin structures of  $C_{2v}$  symmetry of the neutral keto-keto (a, b) and enol (c, d) forms and anionic enolate forms (e, f)

**Table 3.** PJT stabilization energies  $E_{JT}$  for the relevant electronic states of the stable optimized structures of JT subgroups related to their parent groups and electron states

Model	Parent group		Subgroup		EJT / eV
	Symmetry	Electronic state	Symmetry	Electronic state	
Ia	$C_{2v}$	$X^1A_1$	$C_2$	$X^1A$	0.206
Ib	$C_{2v}$	$X^1A_1$	$C_2$	$X^1A$	0.289
IIa	$C_{2v}$	$X^1A_1$	$C_s(\sigma_v)$	$X^1A'$	0.224
	$C_s$	$1^1A''$	$C_1$	$X^1A$	0.194
IIb	$C_{2v}$	$X^1A_1$	$C_s(\sigma_v)$	$X^1A'$	0.224
	$C_s$	$1^1A''$	$C_1$	$X^1A$	0.235

**Table 2.** Symmetry group / electronic ground state (GS), excitation energies  $E_{exc}$  in eV and oscillator strengths ( $f$ ) in atomic units for the corresponding electron transitions of the excited states for the optimized structures in relevant electron states.

Model	Group/ GS	Exc. el. state	$E_{exc}$	$f$	Group/ GS	Exc. el. state	$E_{exc}$	$f$
Ia	$C_{2v}/X^1A_1$	$1^1B_1$	3.443	0.00	$C_2/X^1A$	$1^1B$	3.616	0.00
		$1^1A_2$	3.621	0.00		$1^1A$	3.688	0.01
		$1^1B_2$	4.191	1.38		$2^1B$	4.154	1.39
		$1^1A_1$	4.378	0.13		$2^1A$	4.340	0.10
		$2^1B_2$	4.900	0.08		$3^1B$	4.714	0.00
		$2^1A_1$	4.378	0.13		$2^1A$	4.340	0.10
Ib	$C_{2v}/X^1A_1$	$1^1B_1$	3.441	0.00	$C_2/X^1A$	$1^1B$	3.484	0.02
		$1^1A_2$	3.621	0.00		$1^1A$	3.699	0.00
		$1^1B_2$	4.171	1.34		$2^1B$	3.960	0.91
		$1^1A_1$	4.353	0.13		$2^1A$	4.190	0.32
		$2^1B_2$	4.867	0.02		$3^1B$	4.813	0.01
		$2^1A_1$	4.353	0.13		$2^1A$	4.190	0.32
IIa	$C_{2v}/X^1A_1$	$1^1B_1$	3.388	1.75	$C_s(\sigma_v)/X^1A'$	$1^1A'$	3.475	1.81
		$1^1B_2$	4.048	0.00		$1^1A''$	3.850	0.00
		$1^1A_1$	4.164	0.07		$2^1A'$	4.159	0.08
		$2^1B_1$	4.472	0.07		$3^1A'$	4.610	0.02
		$2^1A_1$	4.770	0.00		$4^1A'$	4.792	0.00
		$1^1A'$	3.371	1.77		$1^1A$	3.446	1.40
IIa	$C_1/1^1A''$	$1^1A''$	3.217	0.00	$C_1/X^1A$	$2^1A$	3.884	0.00
		$2^1A'$	3.957	0.13		$3^1A$	4.177	0.19
		$3^1A'$	4.551	0.02		$4^1A$	4.583	0.05
		$4^1A'$	4.687	0.01		$5^1A$	4.797	0.00
		$2^1A_1$	4.790	0.00		$4^1A'$	4.800	0.01
		$1^1A'$	3.352	1.80		$1^1A$	3.424	1.48
IIb	$C_{2v}/X^1A_1$	$1^1B_1$	3.372	1.78	$C_s(\sigma_v)/X^1A'$	$1^1A'$	3.459	1.83
		$1^1B_2$	4.044	0.00		$1^1A''$	3.848	0.00
		$1^1A_1$	4.120	0.07		$2^1A'$	4.118	0.07
		$2^1B_1$	4.441	0.04		$3^1A'$	4.593	0.01
		$2^1A_1$	4.790	0.00		$4^1A'$	4.800	0.01
		$1^1A'$	3.352	1.80		$1^1A$	3.424	1.48
IIb	$C_1/1^1A''$	$1^1A''$	3.216	0.00	$C_1/X^1A$	$2^1A$	3.892	0.00
		$2^1A'$	3.916	0.11		$3^1A$	4.149	0.17
		$3^1A'$	4.536	0.02		$4^1A$	4.573	0.05
		$4^1A'$	4.695	0.02		$5^1A$	4.810	0.00
		$1^1B_2$	2.952	0.00				
		$1^1B_1$	3.045	0.72				
IIIa	$C_{2v}/X^1A_1$	$1^1B_2$	2.952	0.00				
		$1^1B_1$	3.045	0.72				
		$1^1A_2$	3.612	0.00				
		$1^1A_1$	3.637	0.01				
		$2^1B_1$	4.404	0.00				
		$1^1B_2$	2.955	0.00				
IIIb	$C_{2v}/X^1A_1$	$1^1B_1$	3.056	0.79				
		$1^1A_2$	3.621	0.00				
		$1^1A_1$	3.680	0.00				
		$2^1B_1$	4.373	0.02				
		$1^1B_2$	2.952	0.00				
		$1^1B_1$	3.045	0.72				

### CONCLUSIONS

The theoretical study of curcumin tautomers in  $C_s$ ,  $C_{2v}$ ,  $C_2$  and  $C_1$  symmetry point groups showed:

1. Based on the normal mode analysis, unstable geometries with corresponding symmetries were determined. The indicated symmetry descent was ascribed to the PJT vibronic interaction between the ground electron state and the selected excited states.
2. The PJT effect may modulate the setting of electronic and vibration energy levels upon photodynamic processes. This fact may play the essential role in photoprotection action and activity of symmetric naturally occurring dyes.
3. Our findings open new ways on the study and understanding of photodynamic processes for (quasi)symmetric organic molecules in plants and fungi.

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